

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)

Attorney Docket No.	P-4891-US1
First Inventor or Application Identifier	GROSS, Gerhard
Title	METHODS AND COMPOSITIONS FOR ENHANCING CARTILAGE REPAIR
Express Mail Label No.	

APPLICATION ELEMENTS

See MPEP chapter 600 concerning patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☐ Applicant claims small entity status.
See 37 CFR 1.27.
- ☒ Specification [Total Pages **38**]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **7**]
- Oath or Declaration [Total Pages **5**]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(For continuations/divisional with Box 15 completed)
- c. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies), or
 - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. § 3.73(h) Statement (When there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 5303)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Other. Postcard

7. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No: 09 / 376,276

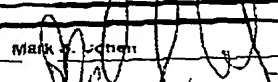
Prior application information: Examiner NGUYEN, Q. Group/Art Unit: 1632

OR CONTINUATION OR DIVISIONAL APPS ONLY: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

Name	Eitan, Pearl, Latzer & Cohen-Zedek		
Address	One Crystal Park, Suite 210, 2011 Crystal Drive		
City	Arlington	State	VA
Country	USA	Telephone	(703) 486-0600
		Zip Code	22202-3709
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Name (Print/Type)	Mark A. Cohen	Registration No. (Attorney/Agent)	42,426
Signature		Date	8 February 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

02/08/02

JC923 U.S. PTO

PTO/SB/17 (09-00)

Approved for use through 10/31/2002. OMB 0851-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	
Filing Date	
First Named Inventor	GROSS, Gerhard
Examiner Name	
Group / Art Unit	
Attorney Docket No.	P-4891-US1

086290/01
U.S. PTO
0501

TOTAL AMOUNT OF PAYMENT (\$)

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 05-0649
Deposit Account Name: Eitan, Pearl, Latzer & Cohen-Zedek

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

BASIC FILING FEE					
Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid	
101	201	740	Utility filing fee		
106	206	330	Design filing fee		
107	207	510	Plant filing fee		
108	208	740	Reissue filing fee		
114	214	160	Provisional filing fee		
SUBTOTAL (1)				(\$)	

2. EXTRA CLAIM FEES

Total Claims: 20 = 20 * X = 200
Independent Claims: 3 = 3 * X = 30
Multiple Dependent: X =

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
103	203	18	Claims in excess of 20	
102	202	84	Independent claims in excess of 3	
104	204	280	Multiple dependent claim, if not paid	
109	209	84	** Reissue independent claims over original patent	
110	210	18	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$)

** or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES					
Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid	
105	205	130	Surcharge - late filing fee or oath		
127	227	50	Surcharge - late provisional filing fee or cover sheet		
139	239	130	Non-English specification		
147	247	2,520	For filing a request for <i>ex parte</i> reexamination		
112	212	920*	Requesting publication of SIR prior to Examiner action		
113	213	1,840*	Requesting publication of SIR after Examiner action		
115	215	110	Extension for reply within first month		
116	216	400	Extension for reply within second month		
117	217	920	Extension for reply within third month		
118	218	1,440	Extension for reply within fourth month		
128	228	1,960	Extension for reply within fifth month		
119	219	320	Notice of Appeal		
120	220	320	Filing a brief in support of an appeal		
121	221	280	Request for oral hearing		
138	238	1,510	Petition to institute a public use proceeding		
140	240	110	Petition to revive - unavoidable		
141	241	1,280	Petition to revive - unintentional		
142	242	1,280	Utility issue fee (or reissue)		
143	243	460	Design issue fee		
144	244	620	Plant issue fee		
122	222	130	Petitions to the Commissioner		
123	223	50	Petitions related to provisional applications		
126	226	180	Submission of Information Disclosure Stmt		
581	281	40	Recording each patent assignment per property (times number of properties)		
146	246	740	Filing a submission after final rejection (37 CFR 1.129(a))		
149	249	740	For each additional invention to be examined (37 CFR 1.129(b))		
179	279	740	Request for Continued Examination (RCE)		
169	269	900	Request for expedited examination of a design application		
Other fee (specify)					
* Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)				(\$)	

SUBMITTED BY		Complete (if applicable)			
Name (Print /Type)	Mark S. Cohen	Registration No. (Attorney/Agent)	42,425	Telephone	(703) 486-0600
Signature				Date	February 8, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.